

Investment Advisory Agreement For Only/ Asset Management

This investment advisory agreement is between **KG Meyer, P.C.** and **Client's Name**. **KG Meyer, P.C.** is registered with State Tennessee and **Client's Name** wants to retain us to act as your financial adviser in accordance with the terms and conditions of this agreement.

This agreement is intended to outline the responsibilities of the parties with regard to the investment management services to be provided by **KG Meyer, P.C.**

1. We will give you the benefit of our continuing study of economic conditions, securities markets and other economic issues. On the basis of these studies, we shall provide advice from time to time regarding the allocation of your assets, including the specific allocation of money market funds, stocks & bonds, CDs, municipal and government securities, mutual funds, unit investment trusts, annuities, and other appropriate investments.
2. We will, after consulting with you, recommend that you establish and maintain, in your name, accounts into which you shall deposit funds and/or securities, which shall be advised, managed, invested.
3. You may at any time increase or decrease your managed assets. Your accounts will, at all times, be held solely in your name and will require your authorization for withdrawal.
4. You will receive statements directly from your broker/dealer, mutual funds and other money managers, as appropriate. (Pfeiffer does not prepare regular client reports.)
5. You agree to pay **KG Meyer, P.C.** 0.75% a year for managing your account(s) on a discretionary basis. The fee will be based on the gross value of your accounts, and will be paid quarterly, at the end of each quarter. Clients must have our fee schedule for their accounts. This will only be permitted when the client authorizes the agreement in writing; the advisor sends the bill to both the client and custodian at the same time; the bill shows the amount of the fee, how it was calculated and the value of the assets upon which the bill is based; and at least quarterly, the custodian (Charles Schwab) notifies the client how much has been paid to the advisor. Initial here for this payment option: _____
6. If you desire financial planning services, you agree to pay (Pfeiffer) \$3,000 per annual term, payable after the consultation for a written financial plan, payable upon presentation of the plan to you. Initial here for this payment option: _____
7. On occasion, **KG Meyer, P.C.** may select and monitor other money managers (registered in your State) for you. When we do so you acknowledge that the other money managers do not pay us a portion of the fee you pay them, and you do not pay us directly for their services.
8. Clients wishing to implement the applicant's advice are free to select any broker they wish and are so informed. Those wishing for the applicant to recommend a broker will get a recommendation based on the broker's costs, skills, reputation, dependability and compatibility with the client. NOTE: Clients may be able to obtain lower commissions and fees from other brokers, and the value of products, research and services given to the applicant is not a factor in determining the selection of broker/dealers or the reasonableness of their commissions.
9. You acknowledge that past performance of investments recommended by (Pfeiffer) should not be construed as an indication of future results, which will prove to be better or worse than past results. YOUR