K G Meyer®
Personal Finance Made Simple

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Investment Advisory Agreement
Fee-Only/ Asset Management

This investment advisory agreement is between KG Meyer, P.C. and __________________________. KG Meyer, P.C. is registered with the State of Tennessee, and ____________________________ wants to retain us to act as your financial advisor by the terms and conditions of this agreement.

The intended agreement outlines the responsibilities of the parties concerning the investment management services provided by KG Meyer, P.C.

1. We will give you the benefit of our continuing study of economic conditions, securities markets, and other economic issues. Based on these studies, we shall provide advice from time to time regarding the allocation of your assets, including the specific allocation of money market funds, stocks & bonds, CDs, municipal and government securities, mutual funds, unit investment trusts, annuities, and other appropriate investments.

2. We will, after consulting with you, recommend that you establish and maintain, in your name, accounts into which you shall deposit funds and/or securities referred to as managed assets.

3. You may, at any time, increase or decrease your managed assets. Your account(s) will, at all times, be held solely in your name and will require your authorization for withdrawal.

4. You will receive statements directly from your broker/dealers, mutual funds, and other money managers, as appropriate. KG Meyer, P.C., does not prepare regular client reports.

5. You agree to pay KG Meyer, P.C. 0.75%, a year for managing your account(s) on a discretionary basis. The fee will be based on the gross value of your accounts and will be paid quarterly, at the end of each quarter. Clients must have our fee withdrawn from their account(s). This will only be permitted when the client authorizes the agreement in writing; the advisor sends the bill to both the client and custodian at the same time; the bill shows the amount of the fee, how it was calculated, and the value of the assets upon which the bill is based; and at least quarterly, the custodian (Charles Schwab) notifies the client of the payment to the advisor. Initial here for this payment option: ________

6. If you desire financial planning services, you agree to pay KG Meyer, P.C., $4,500 per annual term, $1,500 payable after the consultations for a written financial plan, $3,000 payable upon presentation of the plan to you. Initial here for this payment option: _______

7. On occasion, KG Meyer, P.C., may select and monitor other money managers (registered in your State) for you. When we do so, you acknowledge that the other money managers do not pay us a portion of the fee you pay them, and you do not pay us directly for their service.

8. Clients wishing to implement the applicant's advice are free to select any broker they wish and are so informed. Those wishing for the applicant to recommend a broker will get a recommendation based on the broker's costs, skills, reputation, dependability, and compatibility with the client. NOTE: Clients may be able to obtain lower commissions and fees from other brokers. The value of products, research, and services provided to the applicant is not a factor in determining the selection of broker-dealers or the reasonableness of their commissions.

9. You acknowledge that past performance of investments recommended by any firm should not be an indication of future results, which will prove to be better or worse than past results.

YOUR INVESTMENTS WILL GO UP OR DOWN, DEPENDING ON MARKET CONDITIONS. We make no promises, guarantees, or warranties that any of our services will result in a profit to you. You may rely on information furnished by us to be reasonably accurate and reliable.

10. Any controversy or claim arising out of or relating to this agreement, or the breach thereof, shall be settled by arbitration, following the commercial arbitration rules of the American Arbitration Association, and judgment upon the award rendered by the arbitrator may be entered in any court having jurisdiction thereof.

11. This agreement may be modified upon such terms as may be mutually agreed upon in writing. This agreement is terminable by you at any time and for any reason.

12. Paid fees are on an annual basis and are nonrefundable. Either party may terminate this agreement upon written notice. The agreement is not assignable by KG Meyer, P.C., without the advance written consent of the client.

13. If you establish this agreement, the undersigned, in a fiduciary capacity, you now certify that you are legally empowered to enter in or perform this agreement in such a capacity. If a corporation establishes this agreement, the undersigned certifies that the agreement duly authorized, executed, and delivered on behalf of such corporation and that the agreement is a validly certified copy of a resolution of the Board of Directors of the corporation to that effect and authorizing the appropriate officers of the corporation to act on its behalf in connection with this agreement.

14. The laws of Tennessee shall govern this agreement. This agreement contains the complete understanding of the parties regarding this agreement.

15. You certify that the social security number (or tax ID number) set forth is correct and that you are not subject to "backup withholding" under section 340(a)(1)(c) of the Internal Revenue Code or any successor provision.

16. The client may cancel this agreement within 48 hours or two business days of its execution without a penalty to the client, and all funds paid or placed to the KG Meyer, P.C., will be refunded immediately.

Your legal address is: ____

Your Social Security number (or tax ID number) is: _______________________

By signing this agreement, you acknowledge that you were provided with and reviewed KG Meyer, P.C.'s Disclosure Brochure(s), as required by Tennessee's investment advisory regulations.

Accepted by: ____ Date

For KG Meyer, P.C.: _____________________________________ _ Date

Client privacy is a top priority at KG Meyer, PC

The relationship between KG Meyer, PC and our clients is the most important asset of our firm. We strive to maintain client trust and confidence, which is an essential aspect of our commitment to protect their personal information. We do not disclose their personal information to anyone unless required by law, at their direction, or when necessary to provide them with our services. We never rent or sell their personal information to anyone.

Information Collection

KG Meyer, PC receives and maintains non-public personal information about clients during the normal course of business from the following sources so that we can better provide advisory services:

- From clients, on account applications or other forms (paper & electronic), through transactions, correspondence and other communications (i.e., name, address, phone number, email address, social security number, date of birth, and financial information)

Information Sharing

In order for us to provide investment advisory services to clients, it is sometimes necessary for us to disclose their personal information to outside sources (e.g., custodians, regulators, approved third party vendors, accountants). We conduct due diligence with each source prior to initiating a relationship with them to ensure that our standards are satisfied.

Information Safeguarding

To fulfill our privacy commitment at KG Meyer, PC, we have instituted firm-wide practices to safeguard the information that we maintain about clients. These include:

- Adopting procedures that put in place physical, electronic and other safeguards to keep their personal information safe
- Limiting access to personal information to those employees who need it to perform their duties
- Requiring third party vendors that perform services for us to keep client information confidential
- Protecting information of our former clients to the same extent as our current clients

At KG Meyer, PC, we value client privacy. If you have any questions, please feel free to contact us directly at kirk@kgmeyerpc.com or 615-583-5007.